

Bereskin & Parr

INTELLECTUAL PROPERTY LAW

November 6, 2007

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Your Reference: 10/828,269
Our Reference: 11483-174

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA
22313-1450

Dear Sir:


Re: U.S. Patent Application No. 10/828,269
For: RISK MANAGEMENT SYSTEM AND METHOD PROVIDING RULE-BASED
EVOLUTION OF A PORTFOLIO OF INSTRUMENTS
Filing Date: April 21, 2004
Inventor: Jim Degraaf et al.

Further to the Examiner's request for information dated August 9, 2007, the Applicant hereby submits a Supplemental Information Disclosure Statement including (1) a listing, on PTO form SB/08B, of patents and other publications of which the Applicant is aware that may be considered material to patentability, and (2) a copy of the listed publications.

Please have these documents recorded against the above-mentioned application.

Respectfully submitted,

By:


Kendrick Lo
Registration No. 54,948

/sc
Encl.